

# Achieving Internal Control and Enterprise Risk Management Excellence

Speaker: Mr Ricky Cheng, Director, Risk Advisory Services, BDO Financial Services Limited

**Date** : Tuesday, 16 June 2009 (Session 1)  
 Tuesday, 7 July 2009 (Session 2)

**Time** : 6:30 pm - 7:00 pm (Registration)  
 7:00 pm - 8:30 pm (Workshop)

**Venue** : CPA Australia Hong Kong China Division Office  
 20/F Tai Yau Building  
 181 Johnston Road, Wanchai

**Medium** : Cantonese, supplemented with English

**Enrolment Fee** : HK\$180 Member for each session  
 HK\$260 Non-Member for each session

**Certification** : Certificate of Attainment will be issued to participants who have completed each individual session

Solid corporate governance is an essential element in contributing to the sustainable growth and success of various businesses. Internal controls are to be an integral part of any organisation's financial and business policies and procedures. They are becoming more critical as risk strategy is given higher priority in corporate decision making. Amid an economic downturn, good corporate governance, particularly in the areas of internal controls and risk management, also helps maintain and enhance public confidence in all sectors.

**Course Outline:**

**Session 1 - Guidelines to start an internal audit function**

- What are the driving forces in contributing to excellent corporate governance?
- How does an Audit Committee value an Internal Audit (IA) function?
- What are the common confusions?
- Setting up and managing an IA function in your business
- General methodology and work approach
- What is the role of IA in enterprise risk management?

**Session 2 - Practical Consideration in Implementing Enterprise Risk Management**

- Overview of COSO ERM Framework
- Potential costs and benefits
- General methodology and common language in ERM
- What are the foreseeable difficulties in adopting ERM?
- What are the critical success factors?

**About the speaker:**

**Mr Ricky Cheng** has more than 10 years of external and internal audit experience. He specialises in providing consultation and advice on risk management, internal control and corporate governance to over 70 listed and private organisations in the Asia Pacific region. Mr Cheng engaged in various Sarbanes-Oxley Act compliance projects, providing internal control documentation and testing assistance for several US listed organisations, including those who have extensive business operations in China.

	Payment (HK\$) x No. of Person(s)	
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Session 1: Tuesday, 16 June 2009	\$180 x ( )	\$260 x ( )
Session 2: Tuesday, 7 July 2009	\$180 x ( )	\$260 x ( )
<b>Total:</b>		

**Enrolment Form (Fax No. 852 2832 9167)**

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