

## The Importance of Risk Management and Internal Control System for Listed Issuers in Hong Kong and Mainland China

 2 CPD Hours

Speakers: Mr Roy Lo CPA (Aust.), Deputy Managing Partner, SHINEWING (HK) CPA Limited  
 Mr Patrick Leong CPA (Aust.), Manager, SHINEWING (HK) CPA Limited

Date : Wednesday, 24 March 2010

Time : 6:15 pm – 6:45 pm (Registration)  
 6:45 pm – 8:45 pm (Workshop)

Venue : CPA Australia Greater China Division Office  
 20/F Tai Yau Building  
 181 Johnston Road, Wanchai

Language : Cantonese  
 (Presentation materials will be in Chinese)

Fee : HK\$300 Member  
 HK\$500 Non-Member

Certification : Certificate of Attainment will be issued to participants who have completed the workshop

Mainland China's economy continues to soar, supported by strong GDP growth, record foreign investment and trade statistics. In recent years, PRC companies listed in Hong Kong are starting to have a direct impact on the local economy, raising investors concerns for good corporate governance. On the other hand, some PRC companies are not fully aware of the listing requirements under the HKEx.

In this workshop, our presenters will share their insights on effective risk management. They will also explain key internal control issues of PRC companies listed in Hong Kong.

### Course Outline:

- Overview of the internal control development of state-owned enterprises
- Identifying the range of risks of H-share and PRC companies;
- Evaluating and advising on management and internal control systems for a range of risks;
- Developing tools, procedures and policies for successful implementation ; and
- Techniques for monitoring and maintaining effective internal control for long-term success

### About the speakers:

**Mr Roy Lo CPA (Aust.)** is a deputy managing partner of SHINEWING (HK) CPA Limited. He has extensive experience in auditing, merger and acquisitions, and corporate finance consultancy for listed companies in various industries. He currently manages a wide range of auditing and IPO projects for several listed clients. Mr Lo also provides advisory services relating to assurance and risk advisory matters for H-shares companies which are listed in the Stock Exchange of Hong Kong Limited (both Main and GEM Board).

**Mr Patrick Leong CPA (Aust.)**, currently is a manager of Internal Control and Risk Management Services of SHINEWING CPA Limited. He has accumulated over ten years of working experiences in internal control reviews, SOX 404 advisory services and enterprise risk management. His international assignments include performing strategic and internal control reviews, which focus on process improvements for several multinational corporations in Europe, Australasia and ASPAC regions.

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